



## PRIVACY IMPACT ASSESSMENT (PIA)

For the

Transportation Financial Management System-MTMC (TFMS-M)

United States Transportation Command(TRANSCOM)

### SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel\* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

\* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

**SECTION 2: PIA SUMMARY INFORMATION**

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR      Enter DITPR System Identification Number
- Yes, SIPRNET      Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
- No

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
- No

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.  
Consult the Component Privacy Office for additional information or  
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

**e. Does this DoD information system or electronic collection have an OMB Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

**Yes**

**Enter OMB Control Number**

**Enter Expiration Date**

**No**

**f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

Public Law 100-562, Imported Vehicle Safety Compliance Act of 1988; 5 U.S.C. 5726, Storage Expenses, Household Goods and Personal Effects; 10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force, 19 U.S.C. 1498, Entry Under Regulations; 37 U.S.C. 406, Travel and Transportation Allowances, Dependents, Baggage and Household Effects; Federal Acquisition Regulation (FAR); Joint Federal Travel Regulation (JTR), volumes I and II, DoD Directive 4500.9E, Transportation and Traffic Management; DoD Directive 5158.4, United States Transportation Command; DoD Instruction 450.42, DoD Transportation Reservation and Ticketing Services; DoD Regulation 4140.1, DoD Material Management Regulation; DoD Regulation 4500.9, Defense Transportation Regulation; DoD Regulation 4515.3-R, Air Transportation Eligibility.

**g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.**

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

TFMS-M is the financial management system for the military Surface Deployment and Distribution Command (SDDC). The system interfaces with other systems, such as payroll, travel, disbursing, and SDDC non-core accounting support systems. TFMS-M improved cash management and controls over assets, reduced the time required to obtain financial information. TFMS-M allows SDDC and Defense Finance and Accounting Service (DFAS) to track on a daily basis cash management, answer vendor payment issues, and address customer billing questions. PII collected includes Name, Social Security Number, Gender and Date of Birth.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

There are no additional privacy risks associated within TFMS-M. Access to records is limited to person(s) responsible for servicing the records in performance of their official duties and safeguards implemented in the need-to-know principle.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.**

**Within the DoD Component.**

Specify.

**Other DoD Components.**

Specify.

**Other Federal Agencies.**

Specify.

**State and Local Agencies.**

Specify.

**Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

**Other** (e.g., commercial providers, colleges).

Specify.

**i. Do individuals have the opportunity to object to the collection of their PII?**

**Yes**                       **No**

**(1) If "Yes," describe method by which individuals can object to the collection of PII.**

TFMS Form 417 is used to gather PII for pay and entitlement. Individuals provide PII by completing this form. The Privacy Statement on the TFMS-M form 417 notifies the user that completion of the form constitutes consent, and withholding information will impact the ability of the organization to process the applicant's employment status and payment of payroll and entitlements.

The statement on the employment information (TFMS-M Fm 417) reads as follows:  
"Completion of this form constitutes consent to use of Privacy Information for payment of payroll and entitlements. Failure to provide this information will result in inability of the organization to process payment. This information is collected under the authority of DoD Financial Management Regulations and will be protected in compliance with the Privacy Act of 1974, as amended."

**(2) If "No," state the reason why individuals cannot object.**

N/A

**j. Do individuals have the opportunity to consent to the specific uses of their PII?**

**Yes**                       **No**

**(1) If "Yes," describe the method by which individuals can give or withhold their consent.**

The purpose PII collection is for processing payroll and entitlements. TFMS-M Fm 417 provides the following statement to the individual. "The information collected is used only for processing payroll and entitlements. Failure to provide the information, i.e., give consent, will result in an inability to process payment."

**(2) If "No," state the reason why individuals cannot give or withhold their consent.**

