CHAPTER 409

SHIPMENT PROCEDURES FOR PRIVATELY OWNED FIREARMS (POFS) AND AMMUNITION

A. GENERAL

This chapter provides policies and procedures for movement of a customer's POFs and ammunition, both interstate and import/export, to and from the US.

B. LIMITATIONS AND PROHIBITIONS

1. Importation of foreign and domestic semi-automatic assault weapons is prohibited.
2. Importation of machineguns, short barrel shotguns, short barrel rifles, silencers, destructive devices, and certain other concealable firearms is prohibited.
3. The JTR prohibits shipment of privately owned live ammunition as part of a customer's HHG or UB. (See the JTR, Appendix A, Definitions, Household Goods (HHG), Par. A.5.g (Uniformed Members Only), Par. B.4.f (Civilian Employees Only)). For shipping purposes under the JTR, the DTR considers live ammunition as ammunition that contains explosive components (i.e., unexpended primer or propellant powder). It does not include expended or unprimed cartridge casings, empty or spent shotgun shells, shotgun shot or pellets or souvenirs used for decorative purposes. Any reference to ammunition in this chapter is applicable only to ammunition that may be carried as accompanied (checked) baggage IAW carriers' regulations or that is being returned to the CTUS as other than HHG or UB.

C. AUTHORIZATION

1. Shipment of Firearms within the CONUS. Customers are authorized to ship all conventional firearms, antique firearms, and surplus military firearms, provided the owner is in possession of licenses and permits or documentation required by law.
2. Exporting Firearms and Ammunition from the US.
   a. CBP Form 4455, Certificate of Registration, Figure 409-1, must be executed by the customer when shipping firearms or ammunition from the US to an overseas area. This Form must be completed prior to departure from the US at any US Bureau of Customs and Border Protection (CBP) office (http://www.cbp.gov/xp/cgov/toolbox/contacts/cmcs/ **(*) Non-DOD Website**). The Form establishes that the firearms or ammunition were previously taken out of the US by the customer on the customer's subsequent return.
   b. In lieu of certification on CBP Form 4455, proof of possession in the US may be established by a bill of sale, a receipt or other such document, a HHG inventory or packing list, or an application to ship personal property so long as the article is adequately described therein.
3. Armed Forces Personnel Importing Firearms to the US.
   a. A member may import into the US any reasonable number of POFs and amount of ammunition, subject to the following:
      (1) Member must be on active duty outside the US or have been on active duty outside the US within the 60-day period immediately preceding importation. (Customs duty must be paid on any monetary amount above the normal customs exemption.)
      (2) The firearms and ammunition must be suitable for sporting purposes, as set forth herein. Prohibited firearms may not be imported. Surplus military firearms may not be imported

unless the member can demonstrate previous ownership in the US of the firearms to US border clearance officials.

(3) The firearms and ammunition must be intended solely for the personal use of the member and not be intended for resale or for a gift to others.

(4) Import must be to the place of residence of the member or to the member's permanent duty station.

(5) Import must be IAW all federal laws and state, territorial, or local laws. Members should consult Bureau of Alcohol, Tobacco, Firearms and Explosives Publication ATF 5300.5, State Laws and Published Ordinances-Firearms, for detailed information regarding state laws.

NOTE: The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) has traditionally determined that two of any given model of firearms is reasonable. Higher numbers call into question if the firearms are truly for personal use.

b. Import Permit Requirements. Application to import firearms and ammunition must be made on ATF Form 6 Part II (5330.3B), Application and Permit for Importation of Firearms, Ammunition and Implements of War, Figure 409-2. The completed application must be sent to the Director, Bureau of Alcohol, Tobacco, Firearms and Explosives, ATTN: Firearms and Explosives Imports Branch, 650 Massachusetts Avenue N.W., Washington, DC 20226. ATF approved permits are required for importation of all POFs and ammunition, regardless of date of purchase, except as noted below.

(1) Permits are not required for:

(a) Firearms and ammunition previously taken out of the US by the same member with proof of export

(b) Antique firearms and replicas of antiques of such firearms if they are designed to use antique ignition systems (e.g., matchlock, flintlock, or percussion cap), or use rimfire or conventional centerfire ammunition that is not available in commercial channels.

(2) Other items or categories exempt from import procedures set forth in 27 CFR Part 478, § 478.114, Importation by Members of the U.S. Armed Forces.

D. DOD CIVILIAN PERSONNEL

1. Firearms and Ammunition Acquired Overseas. DOD employees who are employed overseas and reside there while so employed are not considered as residents of a State in the US during that period and, therefore, they may import into the US any firearms or ammunition acquired overseas during their employment that may lawfully be imported subject to the following conditions:

a. The firearms and ammunition must be suitable for sporting purposes. Prohibited firearms may not be imported. Surplus military firearms may not be imported unless the employee can demonstrate previous ownership in the US of the firearms to US border clearance officials.

b. The firearms and ammunition must be intended solely for the personal use of the employee and not be intended for resale or for a gift to others.

(1) Import Permit Requirements: Application to import firearms and ammunition must be made on ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War, Figure 409-3. On the application or an attached sheet, a statement must be included that the applicant is a US citizen returning from employment outside of the US and has resided there during such employment, and

that the firearms and ammunition are being imported for personal use and not for resale. The completed application must be sent to the Director, Bureau of Alcohol, Tobacco, Firearms and Explosives, ATTN: Firearms and Explosives Imports Branch, 650 Massachusetts Avenue N.W., Washington, DC 20226. ATF approved permits are required for the importation of all personally owned firearms and ammunition, regardless of date of purchase, except as noted below.

(2) Permits are not required for:

(a) Firearms and ammunition previously taken out of the US by the employee returning them. Proof of export is required

(b) Antique firearms and replicas of antiques of such firearms if they are designed to use antique ignition systems (e.g., matchlock, flintlock, or percussion cap), or use rimfire or conventional centerfire ammunition that is not available in commercial channels.

E. OTHER IMPORTS

1. In addition to the imports of POFs and ammunition covered in paragraphs above, the following imports are allowed:

a. A firearm issued to a general or flag officer under the provisions of military regulations. (No import permit required.)

b. Firearms and ammunition imported for, sold or shipped to, or issued for the use of the US or any department or agency thereof, or any State or any department, agency, or political subdivision thereof. (An import permit is required unless the firearms or ammunition is being directly imported by a federal agency.)

c. Firearms or ammunition, with proper documentation, brought into the US for scientific or research purposes, or for use in connection with competition or training for competitive firing (import permit required).

d. Firearms, other than machine guns, as determined by the ATF to be unserviceable and not restorable to firing condition, entered into the US as curios, museum pieces, or historical artifacts (import permit required).

e. Any individual (military or civilian) on duty overseas may send a normally permitted firearm to a licensed dealer in the US for repair and subsequent return to the individual at his or her oversea location. The firearm should normally be mailed, with the words “REPAIR AND RETURN” clearly marked on the outside of the package. (No import permit required.)

f. Additional detail on firearm importing requirements can be found in this Regulation, Part V, Chapter 503, Importation of Privately Owned Firearms (POF) and Ammunition and Implements of War.

F. RESPONSIBILITIES OF CUSTOMERS

Customers must:

1. Ensure all laws and regulations of the US and its territories, foreign countries, and municipalities are complied with regarding the possession, carrying, registration, and shipment of POFs. (See this Regulation, Part V, Chapter 503 and the PPCIG, (https://tops.ppcigweb.sddc.army.mil/ppcig/menu/home/warning.do), then select Query CG, Country Instructions, and select Country for additional information.)
2. Obtain and provide copies, upon request, of documentation authorizations, individual licenses, or registration forms for the firearms to be shipped as a part of an authorized personal property shipment.
3. Ensure all firearms are free of explosive charges when turned-over for shipment.

G. APPLICATIONS FOR SHIPMENT OF FIREARMS

All applications for the shipment of firearms and ammunition into the CTUS or for shipments that include firearms must be accompanied by the forms or certificates prescribed by this Regulation, Part V, Chapter 503. A separate DD Form 1299, Application for Shipment and/or Storage of Personal Property, Figure 409-5, must be executed when the firearm is not to be packed and shipped with the HHG or UB. The make, model, caliber, and serial number of all firearms to be shipped must be listed on the shipment inventory, whether shipped separately or as part of HHG or UB. It is advisable for the customer to obtain a CBP Form 4455 from the nearest CBP office before shipment from the US as proof of ownership in the US. Upon reentry to the CTUS, the customer must provide proof of ownership in the US. The statement "This shipment contains firearms" must be placed in the Description of Articles block on the BL. The counselor must refer to the PPCIG during counseling.

H. SHIPMENT BY THROUGH GOVERNMENT BILL OF LADING (TGBL) DPM

Under federal law, the carrier or contractor must be notified that firearms are being transported.

I. SHIPMENT THROUGH THE US POST OFFICE (USPS)

1. The USPS may be used as an alternate method of shipment of handguns and other firearms when offered as an official shipment between TOs as an authorized agent of the federal government.
2. Registered mail is required for these shipments.
3. For shipments entering the CTUS, a PS Form 2976-A, Customs Declaration and Dispatch Note, Figure 409-4, must be prepared and affixed to the parcel. The shipment must comply with (CBP) certification procedures outlined in the DTR, Part V.
4. Pistols, revolvers, and other firearms capable of being concealed on the person (referred to as handguns) are non-mailable by individuals. The DOD and the USPS prohibit acceptance by military post offices of firearms, ammunition and handguns for shipment by individuals (USPS Domestic/International Mail Manual, http://www.usps.com **(*)**).
5. Due to complications that may arise with this method of shipment, TOs must limit use of this service to those situations where the customer has departed the area and the shipment could not otherwise be handled through normal shipping methods. Problems which may occur are: lack of adequate storage capability at the destination TOs, inability to effect tracer action until 60 days after shipment was made, and postal service liability rules.

J. REPORTING DISCREPANCIES

Carriers, TOs, and customers discovering theft, attempted theft, or non-receipt of firearms must report the incident immediately to their activity CDR, DOD Component investigative service, and SDDC/AMSSD-PPB. This report must contain the origin and destination of the shipment; the customer's name, rank/grade, and Service/Agency; a description and serial number of the firearm; law enforcement agencies notified; and all other information concerning the incident. The DOD Components must establish procedures to ensure information is submitted through provost marshal or security officer channels to the National Crime Information Center and to the DOD central registry upon discovery of loss, theft, or recovery of lost or stolen firearms.

DEPARTMENT OF HOMELAND SECURITY
U.S. Customs and Border Protection

Form Approved. OMB No. 1651-0010
Exp. 08-31-2012

NO.

CERTIFICATE OF REGISTRATION

19 CFR 10.8, 10.9, 10.68, 148.1, 148.8, 148.32, 148.37

(NOTE: Number of copies to be submitted varies with type of transaction. Inquire at Port Director's office as to number of copies required.)

VIA *(Carrier)*	B/L or INSURED NO.	DATE

NAME, ADDRESS, AND ZIP CODE TO WHICH CERTIFIED FORM IS TO BE MAILED *(If Applicable)*	ARTICLES EXPORTED FOR:
	☐ ALTERATION* ☐ PROCESSING*
	☐ REPAIR* ☐ OTHER, *(specify)*
	☐ USE ABROAD
	☐ REPLACEMENT
	***NOTE:** The cost or value of alterations, repairs, or processing abroad is subject to CBP duty.*

LIST ARTICLES EXPORTED

Number Packages	Kind of Packages	Description

SIGNATURE OF OWNER OR AGENT *(Print or Type and Sign)*	DATE

The Above-Described Articles Were:

EXAMINED		LADEN under my supervision	
DATE	PORT	DATE	PORT
SIGNATURE OF CBP OFFICER		SIGNATURE OF CBP OFFICER	

CERTIFICATE ON RETURN

Duty-free entry is claimed for the described articles as having been exported without benefit of drawback and are returned unchanged except as noted: (use reverse if needed)

SIGNATURE OF IMPORTER *(Print or Type and Sign)*	DATE

NOTE: Certifying officers shall draw lines through all unused spaces with ink or indelible pencil.

Paperwork Reduction Act Notice: An agency may not conduct or sponsor an information collection and a person is not required to respond to this information unless it displays a current valid OMB control number and an expiration date. The control number for this collection is 1651-0010. The estimated average time to complete this application is 10 minutes. If you have any comments regarding the burden estimate you can write to U.S. Customs and Border Protection, Office of Regulations and Rulings, 799 9th Street, NW., Washington DC 20229.

CBP Form 4455 (06/12)

Figure 409-1. CBP Form 4455, Certificate of Registration

OMB No. 1140-0006 (07/31/2014)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Application and Permit for Importation of Firearms, Ammunition and Implements of War

For use by Members of the United States Armed Forces *(Submit in triplicate)*

For ATF use only

Permit No. | Valid for 12 months after the date of approval (item 20 below)

Section I - Application

1. Return Approved Permit to *(Enter name, address and ZIP Code if different than applicant's)*

2. Applicant's Name and Address *(Including ZIP Code)*

Telephone Number

E-mail Address

3. Name and Address of Seller

4. Name and Address of Shipper

5. Present or Last Foreign Duty Station

6. Country of Exportation

7. Description of Firearms, Ammunition and Implements of War *(For firearms, enter (SG)-Shotgun; (RI)-Rifle; (PI)-Pistol; (RE)-Revolver)*

	Name and Address of Manufacturer a	Type *(Frame, Receiver, SG, RI, PI, RE)* b	Caliber Gauge or Size c	Quantity *(Each type)* d	Unit Cost *(U.S. Currency)* e	U.S. Munitions Import List Category f	Model g	Length of Barrel *(Inches)* h	Overall Length *(Inches)* i	Serial No. j	New (N) or Used (U) k
Firearms											
Implements of War											
Ammunition		*(Ball Wad-cutter, Shot)*									

Description

8. Specific Purpose of Importation *(Use additional sheets, if necessary)*

9. Are you now or have you been on active duty outside the United States within the 60-day period immediately preceding this importation? ☐ Yes ☐ No

10. Place of Residence in the United States

11. Date of Assignment to Duty Station within United States

12. Branch of Service

13. Date of Birth

Under penalties of law, I declare that I have examined this application, including the documents submitted in support of it, and to the best of my knowledge and belief, it is true, correct, and complete, that the transportation to and the receipt and possession by me at my place of residence of the firearms and/or ammunition and/or implements of war described above, would not constitute any violation of Title I of the Gun Control Act of 1968 (U.S.C., Title 18 Chapter 44), or Title VII of the Omnibus Crime Control and Safe Streets Act of 1968 (U.S.C. Title 18, Appendix, Sections 1201-1203) or any State law or local ordinance, that the firearms and/or ammunition and/or implements of war are intended for my personal use and that no firearm is a surplus military firearm or a firearm as described in 26 U.S.C. 5845(a).

14. Name of Applicant *(Printed)*

15. Signature of Applicant

16. Rank

17. Date

Section II - For ATF Use Only *(Please make no entries in this section)*

18. The application has been examined and the importation of the firearms and ammunition and implements of war described herein is:

☐ Approved
☐ Partially Approved for the Reason Indicated Here or on Attached Letter
☐ Disapproved for the Reason Indicated on the Attached Letter
☐ Withdrawn by Applicant Without Action
☐ Returned for Additional Information
☐ No Permit Required

19. Signature of Director, Bureau of Alcohol, Tobacco, Firearms and Explosives

20. Date

ATF Form 6 - Part II (5330.3B)
Revised August 2011

Figure 409-2. ATF Form 6 Part II (5330.3B), Application and Permit for Importation of Firearms, Ammunition and Implements of War

Detach Instructions Before Filing.

Instruction Sheet for ATF Form 6 Part II *(Submit in triplicate)*

General Information

1. The Director, Bureau of Alcohol, Tobacco, Firearms and Explosives may issue a permit authorizing the importation of a firearm, ammunition or implement of war into the United States to the place of residence of any military member of the U.S. Armed Forces who is on active duty outside the United States within the 60-day period immediately preceding the intended importation, provided:

   (a) that such firearm or ammunition is generally recognized as particularly suitable for sporting purposes; excluding surplus military firearms;

   (b) that such firearm, ammunition or implement of war is intended for the personal use of such member; and

   (c) that such importation would not constitute a violation of any provision of the Gun Control Act of 1968, Title VII of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (82 Stat. 236; 18 U.S.C. Appendix), or of any State law or local ordinance at the place of the member's residence.

2. A person not meeting the above criteria may engage the services of a Federal firearms licensee to effect the importation, provided that the firearm is importable.

3. A permit is not required for a firearm or ammunition brought into the United States or any possession thereof by any person who can establish to the satisfaction of Customs and Border Protection (CBP) that such firearm or ammunition was previously taken out of the United States or any possession thereof by such person.

4. No permit will be issued to import a surplus military firearm or, a firearm or ammunition which is not generally recognized as particularly suitable for sporting purposes, or a firearm as defined in 26 U.S.C. 5845(a) *(e.g., machinegun, silencer, destructive device, short-barreled rifle or short-barreled shotgun, etc.)*.

5. Application for permission to import firearms, ammunition and implements of war by military members of the United States Armed Forces must be filed on ATF Form 6 -Part II (5330.3B). Commercial firms *(i.e., firearms importers, dealers, DOD civilians, military dependents, etc.)* must use ATF Form 6 -Part I (5330.3A) to apply for permission to import firearms, ammunition, and implements of war.

6. The use or sale of firearms *(including antique firearms)* or ammunition is taxable in certain situations. This tax will probably apply to the sale or use of firearms or ammunition in any business that you conduct. This Federal tax is in addition to any CBP duties. This tax does NOT apply to:

   (a) The personal use of firearms or ammunition which you had in the U.S. before.

   (b) Firearms or ammunition *(domestic or foreign)* that were sold and used in the U.S. anytime after 1918.

   (c) Firearms or ammunition which an individual acquired for use *(for example, shooting or protection)* during a stay outside the U.S. AND used during the stay.

   (d) Parts for firearms or ammunition. However, tax does apply to a complete firearm or to ammunition in knockdown condition *(for example, kits)*.

   (e) Firearms or ammunition of a nonresident that are temporarily imported for use in a specific event or action *(for example, any lawful sporting purpose, official law enforcement, or repair)*.

7. If firearms or ammunition are brought into the U.S. that do not meet these conditions, this tax may apply to their use or sale. Contact the Alcohol and Tobacco Tax and Trade Bureau (TTB), National Revenue Center, 550 Main Street, #8002, Cincinnati, OH 45202, Telephone 1-877-882-3277 for help and forms.

Preparation of ATF Form 6 - Part II

8. **Item 1.** Name and address of person designated, in writing, by a member to effect the release of the articles from CBP custody or to handle the shipment from the duty station outside the United States.

9. **Item 7.** The application must show a detailed description of each firearm, ammunition or implement of war to be imported. The description must establish that the firearm or ammunition is generally recognized as particularly suitable for sporting purposes and meets the other criteria for importation. More than one firearm, ammunition or implement of war may be included on a single application. Failure to supply complete information will delay processing and may cause denial of the application.

10. **Item 14.** Sign all copies of the application in ink. All other entries must be printed in ink or be typewritten.

Number of Copies and Mailing of ATF Form 6 - Part II

11. The form must be submitted, in triplicate, to:
    Director, Bureau of Alcohol, Tobacco, Firearms and Explosives
    (Attention: Firearms and Explosives Imports Branch)
    244 Needy Road
    Martinsburg, WV 25405

12. Any questions concerning the application should be referred to the Imports Branch at the above address or telephone (304) 616-4550.

13. The application should be submitted approximately 60 days prior to the intended importation.

Approval

14. The Director will approve the application or advise the applicant of the reason for the disapproval. In some cases it may be necessary to request additional information to determine the import status of the firearm, ammunition or implement of war.

15. The permit is valid for 12 months from the date of approval. The approved application will serve as the permit to import the article(s) described on the form.

16. After approval, the Director will retain two copies and forward the original to the member or his designated agent, along with copies of ATF Form 6A, Release and Receipt of Imported Firearms, Ammunition and Implements of War.

17. No photographic or other copy may be used, unless certified by the Director, to effect release of the firearms, ammunition or implements of war from CBP. No amendments or alterations may be made to an approved permit, except by the Director.

Release from CBP

18. The ATF Form 6A, with Section I completed, the approved permit, and any other necessary documents, must be presented to the CBP officials handling the importation to effect release of the firearms, ammunition or implements of war.

19. The CBP officer, after determining that the importation is in order, will execute the certificate of release on ATF Form 6A.

20. The CBP officer will forward the ATF Form 6A to the address shown on the form and return the permit to the applicant.

ATF Form 6 - Part II (5330.3B)
Revised August 2011

Figure 409-2. ATF Form 6 Part II (5330.3B), Application and Permit for Importation of Firearms, Ammunition and Implements of War (Cont'd)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0005 (07/31/2013)

Application and Permit for Importation of Firearms, Ammunition and Implements of War

Not for use by Members of the United States Armed Forces.

For ATF Use Only	**For Applicant's Optional Use**	
Permit No. (Valid for 12 months from the date appearing in Item 19 below.) NPR No.	Internal Control/Reference #	E-mail Address *(Optional)*

Section I - Application ***(Submit in triplicate) - For Applicant Use***

1. Federal Firearms License *(If Any)*		2. Telephone No. *(Including Extension No.)*	3. Country of Exportation
License No. *(x-xx-xxx-xx-xxxxx)*	Expiration Date		

4. Name and Address of Customs Broker *(Including Zip Code)*	5. Applicant's Name and Address *(Including Zip Code)*
Check here if permit is to be returned to Customs Broker. ☐	Check here if permit is to be returned to applicant. ☐
6. Name and Address of Foreign Seller, if any	7. Name and Address of Foreign Shipper

8. Description of Firearms and Ammunition *(For firearms, enter (SG)-Shotgun; (RI)-Rifle; (PI)-Pistol; (RE)-Revolver; (DD)-Destructive Device; (MG)-Machinegun)*

	Name and Address of Manufacturer a.	Type *(Frame, Receiver, SG, RI, PI, RE,DD,MG)* b.	Caliber Gauge or Size c.	Quantity *(Each type)* d.	Unit Cost *(U.S. Currency)* e.	U.S. Munitions Import List Category f.	Model g.	Length of Barrel *(Inches)* h.	Overall Length *(Inches)* i.	Serial No. j.	New (N) or Used (U) k.
Firearms ☐	See Attachment										
Implements of War ☐	See Attachment						Description				
Ammunition ☐	See Attachment	*(Ball Wad-cutter, Shot, AP, Tracer) (Rounds)*									

9. Certification of Origin. The items sought for importation in block 8:
   a. Do not contain parts or components produced by or for the U.S. **military** and do not contain parts or components manufactured with U.S. military technical data or assistance. ☐
   b. Contain parts or components produced by or for the U.S. **military** or parts or components manufactured with U.S. military technical data or assistance. ☐
   c. Contain parts or components produced by or for the U.S. **military** or components manufactured with U.S. technical data or assistance that were sold abroad pursuant to a Direct Commercial Sale licensed by the Department of State. ☐

10. Specific Purpose of Importation, Including Final Recipient, If Known *(Use additional sheets, if necessary)*

11. Are You Registered as an Importer Pursuant to The Arms Export Control Act of 1976 Yes ☐ No ☐	12. If "Yes," Give Importer's Registration No. and Expiration Date *(A-xx-xxx-xxxx)*

Under the penalties provided by law, I declare that I have examined this application, including the documents submitted in support of it, and, to the best of my knowledge and belief, it is true, correct, and complete.

13. Name of Applicant *(Printed)*	14. Signature of Applicant	15. Title	16. Date

Section II - For ATF Use Only *(Please make no entries in this section)*

17. The Application Has Been Examined and the Importation of the Firearms, Ammunition, and Implements of War Described Herein is:

Approved ☐	Disapproved for the Reason Indicated Here or on Attached Letter ☐	Returned Without Action for Additional Information ☐
Partially Approved for the Reason Indicated Here or on Attached Letter ☐	Withdrawn By Applicant Without Action ☐	No Permit Required ☐

18. Signature of the Director, Bureau of Alcohol, Tobacco, Firearms and Explosives	19. Date

ATF Form 6 - Part I (5330.3A)
Revised August 2011

Figure 409-3. ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War

Firearms ***(Continued)***

Name and Address of Manufacturer a.	Type *(Frame, Receiver, SG, RI, PI, RE,DD,MG)* *b.*	Caliber Gauge or Size c.	Quantity *(Each type)* d.	Unit Cost *(U.S. Currency)* e.	U.S. Munitions Import List Category f.	Model g.	Length of Barrel *(Inches)* h.	Overall Length *(Inches)* i.	Serial No. j.	New (N) or Used (U) k.

ATF Form 6 - Part I (5330.3A)
Revised August 2011

Figure 409-3. ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War (Cont'd)

Implements of War ***(Continued)***

Name and Address of Manufacturer a.	Description b.	Caliber or Gauge or Size c.	Quantity *(Each type)* *d.*	Unit Cost *(U.S. Currency)* e.	U.S. Munitions Import List Category f.

ATF Form 6 - Part I (5330.3A)
Revised August 2011

Figure 409-3. ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War (Cont'd)

Ammunition ***(Continued)***

Name and Address of Manufacturer a.	Description b.	Caliber or Gauge or Size c.	Quantity *(Each type)* *d.*	Unit Cost *(U.S. Currency)* e.	U.S. Munitions Import List Category f.

ATF Form 6 - Part I (5330.3A)
Revised August 2011

Figure 409-3. ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War (Cont'd)

Instruction Sheet for ATF Form 6 Part I (5330.3A)

(Submit in triplicate) (Detach this instruction sheet before submitting your application)

Paperwork Reduction Act Notice

This request is in accordance with the Paperwork Reduction Act of 1995. The purpose of this information collection is to allow ATF to determine if the article(s) described on the application qualifies for importation by the importer, and to serve as the authorization for the importer. This information is mandatory (18 U.S.C. 925(d), 26 U.S.C. 5844, 22 U.S.C. 2778).

The estimated average burden associated with this collection of information is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Document Services, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Confidentiality is not assured.

General Information

1. An approved ATF Form 6 - Part I (5330.3A) is required to import firearms, ammunition, and implements of war into the United States or any possession thereof, except for certain exempt importations prescribed in 27 CFR Parts 447, 478 and 479. A military member of the U.S.Armed Forces who is on active duty outside the U.S., or who has been on active duty outside the U.S. during the 60-day period immediately preceding the intended importation, should complete ATF Form 6 - Part II (5330.3B) to import sporting type firearms or ammunition for his personal use.

2. Any person engaged in the business of importing firearms or ammunition for resale must be licensed as an importer under the Gun Control Act of 1968 and, if he is importing firearms, ammunition, firearms parts or implements of war *(other than sporting shotguns, shotgun shells, or shotgun parts)* he must also be registered as an importer under the Arms Export Control Act of 1976. No permit to import such articles for resale will be issued until the importer is properly licensed and registered.

3. A Federal firearms licensee, other than an importer, may make an occasional importation of sporting firearms or ammunition *(excluding surplus military)* for himself or an unlicensed person in the licensee's State, provided that the firearms and ammunition are intended for personal use of the person for whom imported and not for resale. ATF Form 6 - Part I (5330.3A) is used to obtain approval for such importation.

4. A permit is not required for a firearm or ammunition brought into the United States or any possession thereof by any person who can establish to the satisfaction of U.S. Customs and Border Protection (CBP) that such firearm or ammunition was previously taken out of the United States or any possession thereof by such person.

5. A permit is not required for the return of a repaired firearm, or replacement firearm of the same kind and type, to the person in the United States who sent the defective firearm out of the United States for repair.

6. If you are a nonimmigrant alien do not complete this form. A nonimmigrant alien entering the U.S. temporarily needs to submit an ATF Form 6NIA (5330.3D) (Application and Permit for Temporary Importation of Firearms and Ammunition by Nonimmigrant Aliens) to temporarily import his personally owned firearms.

7. An unlicensed person may obtain a permit to import sporting type ammunition (excluding tracer or incendiary) and firearm parts (other than frames, receivers, or actions) without engaging the services of a Federal firearms licensee, provided that the importation is for his personal use and not for resale.

8. A nonresident U.S. citizen returning to the United States or a nonresident alien immigrating to the United States, from a permanent residence outside of the United States, may complete and forward the enclosed ATF Form 6 Part I permit application without having to utilize the services of a federally licensed firearms dealer. The nonresident should include a statement, either on the application form or on an attached sheet, that the firearms are being imported for his personal use and not for resale and that he is a nonresident U.S. citizen returning to the United States, or is a nonresident alien immigrating to the United States. The firearms must accompany the nonresident U.S. citizen on entry into the United States, since once he is in the United States, and has acquired residence in a State, he may not directly import a firearm. He must engage the services of a federally licensed firearms dealer in his State of residence to import the sporting firearms *(excluding NFA and surplus military)* for him. A nonresident alien must bring in their firearms within 90 days of arrival in the United States, which is when they obtain State residency. If the firearms are to be imported after 90 days of arrival, he must engage the services of a federally licensed firearms dealer in his State of residence to import the sporting firearms *(excluding NFA and surplus military)* for him.

9. Under Arms Export Control Act of 1976 regulations in 27 CFR 447.41(c), a permit is not required for the importation of:

   a. U.S. Munitions Import List articles from Canada not subject to 27 CFR Part 478 and 479, except articles enumerated in Categories I, II, III, IV, VI(e), VIII(a), XVI, and XX; and nuclear weapons, strategic delivery systems, and all specifically designed components, parts, accessories, attachments, and associated equipment thereof.

   b. Minor components and parts of Category I(a) firearms, except barrels, cylinders, receivers (frames) or complete breech mechanisms, when the total value does not exceed $100 wholesale in any single transaction.

Preparation

10. The applicant shall prepare this form in triplicate. Required signatures must be in ink on all copies. Other entries must be in ink or be typewritten.

11. The application should be submitted approximately 60 days prior to the intended importation. All copies shall be submitted to:

    Director
    Bureau of Alcohol, Tobacco, Firearms, and Explosives
    244 Needy Road
    Martinsburg, WV 25405
    Attention: Firearms and Explosives Imports Branch

12. Any questions concerning the application should be referred to the Imports Branch at the above address or telephone (304) 616-4550.

13. If a licensee is applying to import an article for subsequent transfer to a known final recipient *(e.g., an individual, commercial entity, or government agency)*, the licensee must complete items 1 through 16, andidentify the final recipient by name and address in item 10.

14. Item 9, Certification of Origin: The purpose of this certification is to determine whether items sought for importation require retransfer authorization from the Department of State. Applicants should check block 9a if the articles sought for importation were produced for the civilian market and were not associated with the U.S. military. Applicants should check block 9b if the articles sought for importation contain parts or components produced by or for the U.S. military or manufactured with U.S. military technical data or assistance, and the articles were provided by the U.S. government to a foreign government through a grant or foreign military sales program. Applicants should check block 9c if the articles sought for importation contain parts or components produced by or for the U.S. military or manufactured with U.S. military technical data or assistance, and the articles were sold abroad pursuant to an export license issued by the Department of State authorizing a Direct Commercial Sale (DCS). **NOTE:** If block 9b is checked, a written retransfer authorization from the Department of State must be attached to the application or the applications will be denied. **NOTE:** If block 9c is checked, either a copy of the export license authorizing the DCS or a written retransfer authorization from the Department of State must be attached to the application or the application will be denied.

Approval

15. The Director will approve the application or advise the applicant of thereason for the disapproval. In some cases it may be necessary to request additional

(INSTRUCTIONS CONTINUED ON REVERSE)

ATF Form 6 - Part I (5330.3A)
Revised August 2011

Figure 409-3. ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War (Cont'd)

information or to have the firearm or ammunition sent to ATF for examination to determine the import status.

16. The permit is valid for 12 months from the date of approval. The approved application will serve as the permit to import the article(s) described on the form.

17. After approval, the Director will retain one copy and forward the original to the applicant or his designated agent, along with copies of ATF Form 6A, Release and Receipt of Imported Firearms, Ammunition and Implements of War.

Release From Customs

18. No amendments or alterations may be made to an approved permit, except by the Director.

19. An approved ATF Form 6 - Part I (5330.3A) which is unused, expired, suspended or revoked shall be returned immediately to the Director, Bureau of ATF, 244 Needy Road, Martinsburg, WV 25405 Atttention: Firearms and Explosives Imports Branch.

20. The ATF Form 6A, in duplicate with Section I completed, the approved permit, and any other necessary documents, must be presented to CBP officials handling the importation to effect release of the articles. For the commercial import *(i.e., import for resale)* of firearms, firearms parts and components, and ammunition, the importer also must present to CBP, in order to effect release of the articles, either a corresponding export license issued by the exporting country or a statement, under penalty of perjury, that the exporting country does not issue export licenses.

21. The CBP officer, after determining that the importation is in order, will execute the certificate of release on ATF Form 6A.

22. The CBP officer will forward the ATF Form 6A to the address shown on the form and return the permit and any additional copies of ATF F 6A, to the applicant.

Prohibited Persons Under U.S. Law

23. The importer of a firearm should be familiar with the provisions of law governing who may lawfully possess a firearm in the United States. Generally, 18 U.S.C. 922 prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who has been convicted of a crime punishable by imprisonment for a term exceeding one year; by one who is a fugitive from justice; by one who is an unlawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance; by one who has been adjudicated mentally defective or has been committed to a mental institution; by one who has been discharged from the Armed Forces under dishonorable conditions; by one who has renounced his or her U.S. citizenship; by one who is an alien illegally in the United States or is a nonimmigrant alien; by one who is subject to certain restraining orders; or by one who has been convicted of a misdemeanor crime of domestic violence. Furthermore, section 922 prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a crime punishable by imprisonment for a term exceeding one year.

Forms

24. Federal firearms licensees must retain this form as part of their ATF required records permanently as prescribed by 27 CFR 478.129(d). Importers registered under the Arms Export Control Act who do not also hold a Federal firearms license must retain this form as part of their ATF required records for at least the 6-year period prescribed by 27 CFR 447.34(b).

Additional Forms are available from:

ATF Distribution Center
1519 Cabin Branch Drive
Landover, MD 20785-3816

Or by accessing the ATF website at http://www.atf.gov

Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(a)(e)(3)).

1. **Authority.** Disclosure of the information requested on ATF Form 6 Part I (5330.3A) is mandatory pursuant to 18 U.S.C. 925 and Section 38 of the Arms Export Control Act of 1976 (22 U.S.C. 2778, 26 U.S.C. 5844) to obtain a permit to import firearms, ammunition, and implements of war.

2. **Purpose.** To determine if the article(s) qualifies for importation by the applicant.

3. **Routine Uses.** The information will be used by ATF to make determinations set forth in paragraph 2. In addtion, information may be disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the enforcement and regulation of firearms and/or ammunition where such disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the application when such disclosure is not prohibited by law.

4. **Effects.** Failure to supply complete information will delay processing and may cause denial of the application.

ATF Form 6 - Part I (5330.3A)
Revised August 2011

Figure 409-3. ATF Form 6 Part I (5330.3A), Application and Permit for Importation of Firearms, Ammunition and Implements of War (Cont'd)

United States Postal Service®
Customs Declaration and Dispatch Note — CP 72

IMPORTANT: This item may be opened officially. Please print in English, using blue or black ink, and press firmly; you are making multiple copies. See Privacy Notice and Indemnity Coverage on Sender's Copy.

FROM: Sender's Last Name | First | MI
Business
Address *(Number, street, suite, apt., P.O. Box, etc. Residents of Puerto Rico include Urbanization Code preceeded with URB.)*
City | State | ZIP+4®
Telephone/Fax or Email

TO: Addressee's Last Name | First | MI
Business
Address *(Number, street, suite, apt., P.O. Box, etc.)*
Postcode | City
State/Province | Country

Insured Amount (US $) $! Ø Ø | SDR Value !
Insurance Fees (US $) $! | **Total Postage/Fees (US $)** $!

13. Sender's Customs Reference *(If any)*
14. Importer's Reference - Optional *(If any)*
15. Importer's Contact *(select one)* ☐Telephone ☐Fax ☐Email
16. License No.
17. Certificate No.
18. Invoice No.

1. Detailed Description of Contents *(enter one item per line)*	2. Qty.	3. Lbs.	Oz.	4. Value (U.S. $)
				!
				!
				!
				!

For Commercial Senders Only
19. HS Tariff Number | 20. Country of Origin of Goods

5. Check All That Apply:
☐ Gift ☐ Returned Goods ☐ Dangerous Goods
☐ Documents ☐ Commercial Sample
☐ Merchandise ☐ Humanitarian Donation ☐ Other

6. Other Restrictions: *(pertains to No. 11)*

7. Total Gross Wt: (all items Lbs. & Ozs.) !

8. Total Value US $ (all items) !

9. If non-deliverable:
☐ Treat as Abandoned
☐ Return to Sender
☐ Redirect to Address Below

Mailing Office Date Stamp

10. AES/ITN/Exemption

11. Restrictions:
☐ Quarantine ☐ Sanitary or Phytosanitary Inspection

12. I certify the particulars given in this customs declaration are correct. This item does not contain any undeclared dangerous articles, or articles prohibited by legislation or by postal or customs regulations. I have met all applicable export filing requirements under federal law and regulations. *Sender's Signature and Date* *Month Day Year*

PS Form **2976-A**, September 2012 PSN 7530-01-000-9834 Do not duplicate this form without USPS® approval. 1 – Customs Declaration

Figure 409-4. PS Form 2976-A, Customs Declaration and Dispatch Note (Sample)

APPLICATION FOR SHIPMENT AND/OR STORAGE OF PERSONAL PROPERTY
(Read Privacy Act Statement on back before completing form.)

1. DATE PREPARED *(YYYYMMDD)*
2. SHIPMENT NUMBER
3. NAME OF PREPARING OFFICE
4. TO *(Responsible Origin Personal Property Shipping Office)*
   a. NAME
   b. ADDRESS *(Street, Suite Number, City, State, ZIP Code)*
5. NAME OF DESTINATION PERSONAL PROPERTY SHIPPING OFFICE
6. MEMBER OR EMPLOYEE INFORMATION
   a. NAME *(Last, First, Middle Initial)*
   b. RANK/GRADE
   c. SSN
   d. AGENCY
7. REQUEST ACTION BE TAKEN TO TRANSPORT OR STORE THE FOLLOWING:
   a. HOUSEHOLD GOODS/UNACCOMPANIED BAGGAGE/ITEMS/NO. OF CONTAINERS *(Enter quantity estimate)*
      (1) POUNDS
      (2) POUNDS OF PROFESSIONAL BOOKS, PAPERS, AND EQUIPMENT (PBP&E) *(Enter "NONE" if not applicable)*
      (3) EXPENSIVE AND VALUABLE ITEMS *(Number of cartons)*
   b. MOBILE HOME INFORMATION *(Enter dimensions in feet and inches)*
      (1) SERIAL NUMBER
      (2) LENGTH
      (3) WIDTH
      (4) HEIGHT
      (5) TYPE EXPANDO *(Describe)*
   c. MOBILE HOME SERVICES REQUESTED *(X as applicable)*
      ☐ CONTENTS PACKED ☐ MOBILE HOME BLOCKED ☐ MOBILE HOME UNBLOCKED ☐ STORED AT ORIGIN ☐ STORED AT DESTINATION
8. THIS SHIPMENT/STORAGE IS REQUIRED INCIDENT TO THE FOLLOWING CHANGE OF STATION ORDERS:
   a. TYPE ORDERS *(X one)* ☐ PERMANENT ☐ TEMPORARY
   b. ISSUED BY
   c. NEW DUTY ASSIGNMENT
   d. DATE OF ORDERS *(YYYYMMDD)*
   e. ORDERS NUMBER
   f. PARAGRAPH NO.
   g. IN TRANSIT TELEPHONE NO. *(Include Area Code)*
   h. IN TRANSIT ADDRESS *(Street, Apartment Number, City, State, ZIP Code)*
9. PICKUP (ORIGIN) INFORMATION
   a. ADDRESS *(Street, Apartment Number, City, County, State, ZIP Code) (If a mobile home park, include mobile home court name)*
   b. TELEPHONE NUMBER *(Include Area Code)*
10. DESTINATION INFORMATION
   a. ADDRESS *(Street, Apartment Number, City, County, State, ZIP Code) (If a mobile home park, include mobile home court name)*
   b. AGENT DESIGNATED TO RECEIVE PROPERTY
11. EXTRA PICKUP/DELIVERY ADDRESS *(If applicable)*
12. SCHEDULED DATE FOR *(YYYYMMDD)*
   a. PACK
   b. PICKUP
   c. DELIVERY
13. REMARKS
14. I CERTIFY THAT NO OTHER SHIPMENTS AND/OR NONTEMPORARY STORAGE HAVE BEEN MADE UNDER THESE ORDERS EXCEPT AS INDICATED BELOW *(If none, indicate "NONE.")*

a. FROM	b. TO	c. NET POUNDS *(Actual or estimated)*	d. POUNDS OF PBP&E *(Actual or estimated)*

15. CERTIFICATION OF SHIPMENT RESPONSIBILITIES/STORAGE CONDITIONS
   I certify that I have read and understand my shipping responsibilities and storage conditions printed on the back side of this form.
   a. SIGNATURE OF MEMBER/EMPLOYEE
   b. DATE SIGNED
   c. ADDRESS OF CONTRACTOR *(Street, Suite No., City, State, ZIP Code)*
   d. NAME OF CONTRACTOR *(Origin DPM or non-temporary storage)*
16. CERTIFICATE IN LIEU OF SIGNATURE ON THIS FORM IS REQUIRED WHEN REGULATIONS SO AUTHORIZE. Property is baggage, household goods, mobile home, and/or professional books, papers and equipment authorized to be shipped at government expense.
   a. REASON FOR NONAVAILABILITY OF SIGNATURE
   b. CERTIFIED BY *(Signature)*
   c. TITLE

DD FORM 1299, SEP 1998 PREVIOUS EDITION IS OBSOLETE.

Figure 409-5. DD Form 1299, Application for Shipment and/or Storage of Personal Property

PRIVACY ACT STATEMENT

AUTHORITY: 37 USC 406, 5 USC 5726; and E.O. 9397.

PRINCIPAL PURPOSE(S): Primarily used for evaluating requests submitted by Service members and eligible individuals for shipment and/or storage of personal property. Also used to prepare the Government bill of lading and other shipping documents (as applicable) to move the personal property. Used by the Finance Office for collection from the member in case goods to be shipped exceed Government entitlement limits.

ROUTINE USE(S): DD Form 1299 is provided to commercial carriers and shipping agents as the official shipping and storage order.

DISCLOSURE: Voluntary; however, failure to provide the requested information may delay shipping dates and impede storage arrangements.

CERTIFICATION OF SHIPMENT RESPONSIBILITIES

In consideration of said household goods or mobile homes being shipped at Government expense, I hereby agree that:

1. This shipment/storage lot consists of my property or the property awarded to my ex-spouse incident to a divorce which was acquired by me prior to the effective date of my orders.

2. If my orders are modified or cancelled and affect this shipment, I will immediately notify the shipping office at point of origin (or port, if any) and destination.

3. I will remit the proper amount or consent to the collection from my pay as may be necessary to cover all excess costs occasioned by this shipment.

4. I agree, prior to shipment and at my expense to place my mobile home in condition to withstand transportation.

5. I understand that transportation of my mobile home and shipment of baggage and household goods within the United States are provided in Chapter 10, JTR.

6. I understand the Government will not be responsible for goods remaining in storage after the expiration of the authorized period.

7. Professional books, papers and equipment are or were necessary in the performance of official duties.

CONDITION FOR STORAGE

In consideration of said household goods being stored at Government expense, I hereby agree as follows:

1. I will notify the transportation office responsible for storing my nontemporary storage account of any changes in my storage entitlement.

2. The Government is authorized to enter into any agreement and to do all acts and things which may be convenient or necessary to store the household goods. Storage of the household goods is furnished subject to such applicable laws and regulations as are now or may hereafter be in effect.

3. The Government may store the household goods in Government facilities or in commercial storage under a Government contract.

4. The Government may move or transfer by any appropriate means the household goods from their present location to Government or commercial storage facilities and from such facilities to an appropriate destination upon termination of storage.

5. When the household goods are stored in Government facilities and the authorized period for storage at Government expense expires, the Government may require me to remove the household goods from their place of storage. In the event, after 30 days notice, I fail to remove the household goods, or if, after diligent effort, notice to me cannot be effected, the Government may proceed as follows: (a) place and store the household goods in commercial storage at my expense, or (b) if a commercial warehouse will not accept the household goods for commercial storage at my expense, the Government is hereby authorized to take whatever action in accordance with law and regulation may be deemed appropriate to effect disposition of the household goods.

6. When the household goods are stored in commercial facilities and the authorized period of storage at Government expense expires, all storage and incidental charges accruing after the last day of the authorized period of storage shall be at my expense.

7. The Government shall not be liable for charges incident to storage or services in connection with the household goods (1) not authorized by law or regulation to be at Government expense, (2) in excess of weight limitations imposed by law or regulation, or (3) after the expiration of the period of which storage at Government expense is authorized.

8. Government contracts for the storage of household goods limit the liability of the warehouseperson to $50 per article or package as listed on the warehouse receipt. Applicants are advised to consider obtaining insurance on their household goods while such goods are in storage.

DD FORM 1299 (BACK), SEP 1998

Figure 409-5. DD Form 1299, Application for Shipment and/or Storage of Personal Property, (Back) (Cont'd)

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