

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Global Air Transportation Execution System (GATES)

2. DOD COMPONENT NAME:

United States Transportation Command

3. PIA APPROVAL DATE:

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- | | |
|------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees and/or Federal contractors |
| <input checked="" type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one)

- | | |
|------------------------------------------------------------------------|---------------------------------------------------------|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

GATES collects PII to support the following: Aerial Ports and Water Ports of Embarkation and Debarkation; Military Transportation Offices; Air Mobility Support Flights; Passenger Gateways; Navy Operated Air Terminals and Aerial Ports; SDDC Army and Navy Water Ports; Military airfields or installations (all services); any activity or agency responsible for initiating or receiving a request for movement of personnel and their baggage, manifesting, tracing, billing actions, or statistical data collection; and deployed fixed and non-fixed airfields throughout the world.

Passenger records are used to prepare aircraft manifests for passenger identification processing and movement on military aircraft, commercial contract (charter) aircraft, and on seats reserved (blocked) on regularly scheduled commercial aircraft at military and civilian airports. Records containing PII in this system are also used to: (a) develop billing data to the user Military Services or other organizations; (b) determine passenger movement trends; (c) forecast future travel requirements; (d) identify, research, and resolve transportation related problems; and, (e) screening for customs, immigration, and transportation security purposes.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Identifiable information must be collected to execute USTRANSCOM missions. PII is used to:

- 1) Prepare aircraft manifests for passenger identification processing and movement on military aircraft, commercial contract (charter) aircraft, and on seats reserved on regularly scheduled commercial aircraft at military and civilian airports;
- 2) Screen passengers for customs, immigration, and transportation security purposes; and,
- 3) Create manifests and records relating to the movement of personal property and human remains.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The Privacy Act Statement is included in the application for air travel form requesting travel. (AMC Form 140) Per AMCI24-101V14 page 10 para. 2.10, a privacy act statement is displayed for the applicants at all the GATES passenger terminals of the collection of personal information. If an individual objects, the privacy act statement informs them that failure to provide the information could result in them not being accepted for travel on military aircraft.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

GATES provides privacy advisory statement in written form (Application of Air Travel; Space Available Travel Request) and electronic format at the passenger terminals. (AMC Form 140) Per AMCI24-101V14 page 10 para. 2.10, a privacy act statement is displayed for the applicants at all the GATES passenger terminals of the collection of personal information.

“DISCLOSURE is voluntary; however, failure to provide the requested information may impede, delay or prevent further processing of this request”. If an individual does not consent, they can decline to sign the form.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- Privacy Act Statement Privacy Advisory Not Applicable

The privacy act statements are included in the forms (Application of Air Travel; Space Available Travel Request). (AMC Form 140) Per AMCI24-101V14 page 10 para. 2.10, the following privacy act statement displays for the applicants at all the GATES passenger terminals: “DISCLOSURE is voluntary; however, failure to provide the requested information may impede, delay or prevent further processing of this request”.

Privacy act statement reads as follows:

PRIVACY ACT STATEMENT

AUTHORITY: 10 U.S.C. 8013

PRINCIPAL PURPOSE: To apply for air travel. SSN is needed for positive ID.

ROUTINE US(S): Records from this system of records may be disclosed for any of the blanket routine uses published by the Air Force.

DISCLOSURE IS VOLUNTARY: Disclosure of SSN is voluntary. However failure to provide the information may result in member not being accepted for travel on military aircraft.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component

Specify. United States Transportation Command and TCC Components

Other DoD Components

Specify. ARMY, NAVY, AIR FORCE, Defense Logistics Agency, AAFES, Marine Corp

Other Federal Agencies

Specify. Department of Transportation, Department of Homeland Security, Department of Commerce

State and Local Agencies

Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify. CSRA and MITRE. The contractor shall comply with Federal Information Processing Standards (FIPS) and Federal laws and regulations that affect IT systems operations. Examples are the Privacy Act of 1974, the Computer Security Act of 1987 and the Joint Financial Management Improvement Program (JFMIP).

Other (e.g., commercial providers, colleges).

Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

Existing DoD Information Systems

Commercial Systems

Other Federal Information Systems

GATES interfaces with systems that transfer PII : CMOS: Passenger manifests and Personal Property shipments, DCBS: Passenger data, DHSR: Passenger data, DPS: Personal Property shipments, DSS: Personal Property shipments, IGC: Passenger data and Personal Property shipments, MEIS: Human Remains, NTS: Evacuee passenger data, RF-ITV Server: Personal Property shipments, SMS: User defined reports may contain PII, MDSS II/SSDM: Passenger manifests, TC-AIMS II: Passenger manifests and Personal Property shipments, Water: CMOS: Personal Property shipments; Super Cargo and passengers. DPS: Personal Property shipments, DSS: Personal Property shipments; Super Cargo, FACTS: Personal Property shipments; Super Cargo and passengers, IBS-ATCMD: Personal Property shipments; Super Cargo IBS-Unit: Super Cargo, IGC: Personal Property shipments; Super Cargo and passengers, ISDDC: Personal Property shipments; Super Cargo and passengers, MSC: Personal Property shipments; Super Cargo and passengers, MTMS: Super Cargo, RF-ITV Server: Personal Property shipments, SMS: Personal Property shipments; Super Cargo and passengers, SSDM/MDSS II: Super Cargo, TC AIMS II: Personal Property shipments; Super Cargo and passengers, TOPS: Personal Property shipments, Tracker: Personal Property shipments; Super Cargo and passengers, VSM: SuperCargo

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> E-mail | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input checked="" type="checkbox"/> Face-to-Face Contact | <input checked="" type="checkbox"/> Paper |
| <input checked="" type="checkbox"/> Fax | <input type="checkbox"/> Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | <input checked="" type="checkbox"/> Website/E-Form |
| <input checked="" type="checkbox"/> Other (If Other, enter the information in the box below) | |

GATES collects PII by the following:

Travelers using government conveyances provide PII in person, via the web, and/or paper based collections to port personnel. Automated and manual transfers of PII data can occur between GATES and other systems interfaces.

GATES interfaces with systems that transfer PII : (DPS, CMOS, APIS, FACTS, TC-AIMS II, LOGMOD, DCAPEs, MEIS, GTN, SMS, TOPS, TMDS, GFM, GEX, MDSSII, TRACKER, IGC).

PII can also be embedded in the transportation control number for personal property shipments and for super cargo.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Systems that have been identified without the proper schedule requirements with NARA will be processed by the Command Records Manager upon further training & resources. This Process will be sent to the Program Manager once established.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Public Law 100-562, Imported Vehicle Safety Compliance Act of 1988; 5 U.S.C. 5726, Storage Expenses, Household Goods and Personal Effects; 10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force; 19 U.S.C. 1498, Entry Under Regulations; 37 U.S.C. 406, Travel and Transportation Allowances: Dependents, Baggage and Household Effects; Federal Acquisition Regulation (FAR); Joint Federal Travel Regulation (JTR), Volumes I and

PREVIOUS EDITION IS OBSOLETE. DD FORM 2930, JUN 2017 AEM Designer Page 4 of 9 II; DOD Directive 4500.9E, Transportation and Traffic Management; DOD Directive 5158.4, United States Transportation Command; DOD Instruction 4140.1, DOD Supply Chain Material Management; DTR 4500.9-R, Defense Transportation Regulation; and DOD Instruction 4515.13, Air Transportation Eligibility and DOD Directive 4500.57, Transportation and Traffic Management.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

0704-0530, Expired 10/31/2017

Systems that have been identified without the proper OMB Control Number requirements will be processed by the Command Records Manager upon further training & resources. This Process will be sent to the Program Manager once established.