

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Integrated Multi-Modal Operations (IMMO)

2. DOD COMPONENT NAME:

United States Transportation Command

3. PIA APPROVAL DATE:

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- | | |
|--|--|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees and/or Federal contractors |
| <input checked="" type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one)

- | | |
|--|---|
| <input checked="" type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Transportation Management System (TMS) is a Commercial-Off-The-Shelf software that will support the planning and execution of cargo movement for the Department of Defense. The system offers a web-based user interface allowing USTRANSCOM customers the opportunity to request transportation of cargo. The system captures basic point of contact (POC) information such as name, address, telephone, and email to support communications between carriers, shippers, and transporters. Social security numbers will NOT be captured in the system in any form.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

mission related and data matching

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The PII may be required for certain data entry and processing on the system. Carriers often require points of contact to be identified for shippers and vendors. There are also system to system interfaces from other system who may process PII. PII is not used to create elevated accounts.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

For PII data being entered in directly into the web user interface, the user may choose to not enter in their PII, however operationally it may be required by carriers and other transportation partners. Also, some POC information may flow into the system via system interface. In this circumstance the data is originating in another system and the TMS is simply the consumer; therefore, users have no opportunity to provide consent for these automated interfaces. Systems interfacing with the TMS include the AAFES Warehouse Operations Management (WOMS), Distribution Standard System (DSS), Transcom Reference Data Management (TRDM), Mobility Enterprise Information Services (MEIS), and multiple carrier systems.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- | | | |
|--|--|---|
| <input type="checkbox"/> Privacy Act Statement | <input checked="" type="checkbox"/> Privacy Advisory | <input type="checkbox"/> Not Applicable |
|--|--|---|

1. This system is covered by Systems of Record Notice FRTRANSCOM 01 DoD - Defense Transportation System (DTS) Records dated (September 26, 2014, 79 FR 57893).

2. **AUTHORITY:** Public Law 100-562, Imported Vehicle Safety Compliance Act of 1988; 5 U.S.C. 5726, Storage Expenses, Household Goods and Personal Effects; 10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force; 19 U.S.C. 1498, Entry Under Regulations; Joint Federal Travel Regulation (JTR), Volumes I and II; DoD Directive 4500.9E, Transportation and Traffic Management; DoD Directive 5158.4, United States Transportation Command; DoD Instruction 4500.42, DoD Transportation Reservation and Ticketing Services; DoD Regulation 4140.1, DoD Material Management Regulation; DoD Regulation 4500.9, Defense Transportation Regulation; and DoD Regulation 4515.13-R, Air Transportation Eligibility. DPS - Executive Order 10450, 9397; and Public Law 99-474, the Computer Fraud and Abuse Act. Privacy Act Information - The information accessed through this system is For Official Use Only and must be protected IAW DoD Directive 5400.11 and DoD 5400.11-R, DoD Privacy Program. Authority: The Privacy Act of 1974, as amended. 5 U.S.C. 552a.

PURPOSE: TMS captures basic PII information such as name, address, telephone, and email to support communications between carriers, shippers, and transporters.

ROUTINE USES: The system offers a web-based user interface allowing USTRANSCOM customers the opportunity to request transportation of cargo. PII may be required for certain data entry and processing on the system. Carriers often require points of contact to be identified for shippers and vendors. There are also system to system interfaces from other system who may process PII.

DISCLOSURE: Voluntary. However, any individual or entity that does not provide the required information may not be able to utilize all features of TMS

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

- | | | |
|--|----------|---|
| <input checked="" type="checkbox"/> Within the DoD Component | Specify. | USTRANSCOM, AMC, SDDC, MSC |
| <input checked="" type="checkbox"/> Other DoD Components | Specify. | AAFES, DLA |
| <input type="checkbox"/> Other Federal Agencies | Specify. | |
| <input type="checkbox"/> State and Local Agencies | Specify. | |
| <input type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | |
| <input checked="" type="checkbox"/> Other (e.g., commercial providers, colleges). | Specify. | Commercial Carriers - Matson, Maersk, APL, Crowley, Pasha, Landstar, Tri-State, Mercer, and Bennett |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Individuals | <input type="checkbox"/> Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | <input checked="" type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

Transportation Management System (TMS), TRANSCOM Reference Data Management (TRDM), AAFES Warehouse Operations Management System (WOMS), Defense Logistics Agency's (DLA) Distribution Standard System (DSS)

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|--|--|
| <input type="checkbox"/> E-mail | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> Face-to-Face Contact | <input type="checkbox"/> Paper |
| <input type="checkbox"/> Fax | <input type="checkbox"/> Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | <input type="checkbox"/> Website/E-Form |
| <input checked="" type="checkbox"/> Other (If Other, enter the information in the box below) | |

- There are two manners of information collection in the TMS for PII. The first is via system to system interface. We receive reference data via the TRANSCOM Reference Data Management (TRDM) system using an Secure File Transfer Protocol connection that includes financial data (Transportation Account Codes) as well as contact information (Name, phone, email) for vendors, units, and other applicable parties of interest for the TMS. We also receive transactional data feeds Extensible Markup Language (XML) from the Distribution Standard System (DSS) and AAFES Warehouse Operations Management System (WOMS) requesting transportation services through the TMS. This data may also include financial (TAC) and POC information.

- The other method of collection is directly into the system via the TMS's web user interface known as the Systems, Application and Products (SAP) NetWeaver Business Client (NWBC). Users access the user interface via an active TMS account and are authenticated using CACs with NGA's GEOAxIS solution. Once in, they may enter in applicable POC information in transportation requests, master data, and other areas as well as apply the required Transportation Account Code (TAC) to be billed for the transportation. A TAC is a mandatory field for users to fill out on a transportation request, but POC information is not required, so users may create requests without identifying a specific POC by name.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclld.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

A SORN is not required as the system does not query either internally or via external interface any individual by name. Contact information is entered into the system for various shipping contacts, vendor contacts, or other required contact information, but records cannot be searched directly by contact name.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C. 113, Secretary of Defense; 10 U.S.C. 3013, Secretary of the Army; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8013, Secretary of the Air Force; 19 U.S.C. 1498, Entry Under Regulations; Federal Acquisition Regulation (FAR); DOD Directive 4500.9E, Transportation and Traffic Management; DOD Directive 5158.4, United States Transportation Command; DOD Instruction 4140.1, DOD Supply Chain Material Management; DTR 4500.9-R, Defense Transportation Regulation; DOD Instruction 4515.13, Air Transportation Eligibility; DOD Directive 4500.57, Transportation and Traffic Management

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

Users are able to enter PII info into TMS directly so we can be a source for POC information (name, phone, email) but all other PII will come from the system to system connection as detailed in section J above.